

Safety of Ocrelizumab in Multiple Sclerosis: Updated Analysis in Patients With Relapsing and Primary Progressive Multiple Sclerosis

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**Phase II (NCT00676715); OPERA I (NCT01247324); OPERA II (NCT01412333); ORATORIO (NCT01194570);
VELOCE (NCT02545868); CHORDS (NCT02637856); CASTING (NCT02861014);
OBOE (NCT02688985); ENSEMBLE (NCT03085810); LIBERTO (NCT03599245); CONSONANCE (NCT03523858)**



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Background and objectives

- The safety and efficacy of OCR in patients with MS have been characterized in Phase II and Phase III clinical trials¹⁻³
- OCR reduced disease activity and disability progression in patients with RMS vs IFN β -1a² and PPMS vs placebo³
- In the Phase III trials, the most common AEs associated with OCR included IRRs, respiratory tract infections, and UTIs²⁻⁵



Objective: To report ongoing safety evaluations from OCR clinical trials and associated OLE periods up to January 2020 and selected post-marketing data

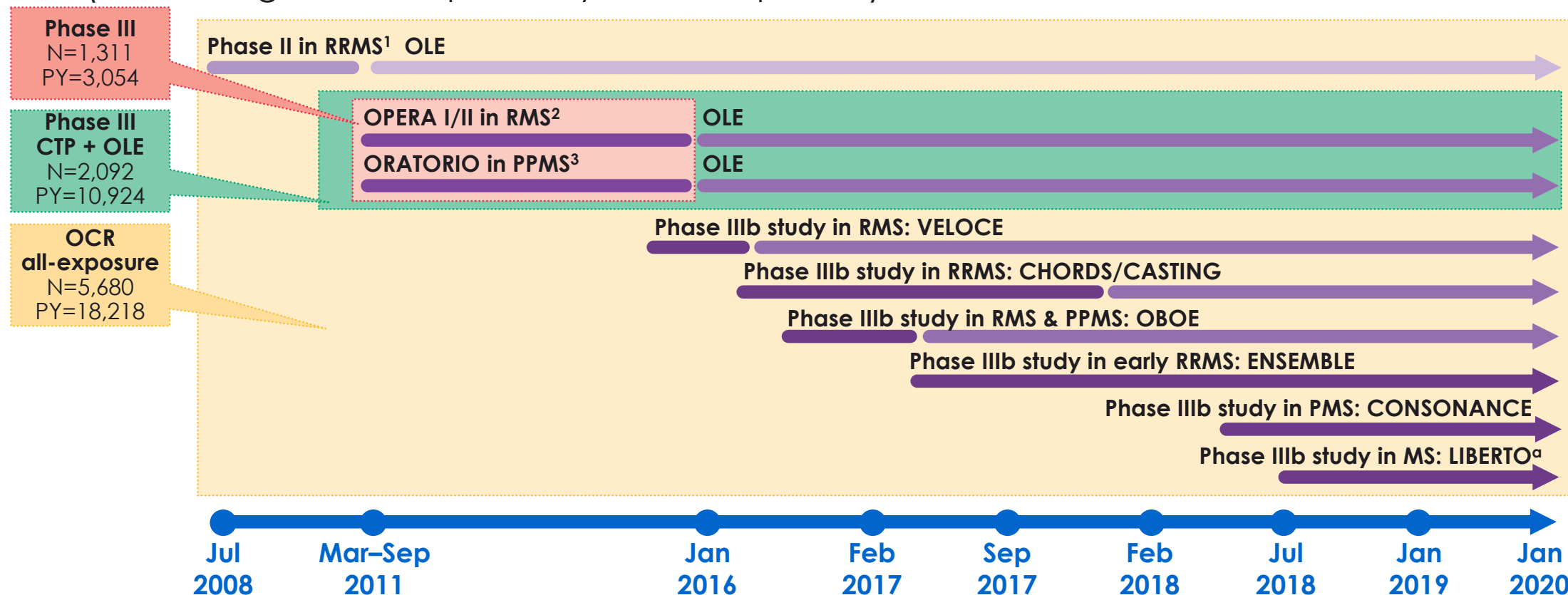
Methods

- Safety analyses are based on integrated data for all patients who received OCR in the following MS clinical trials:
 - **OPERA OLE:** The Phase III OPERA I (NCT01247324) and OPERA II (NCT01412333) clinical trials, and OLE periods^a
 - **ORATORIO OLE:** The Phase III ORATORIO (NCT01194570) clinical trial and OLE period^a
 - **OCR all-exposure:** The Phase II (NCT00676715) and Phase III MS clinical trials and associated OLE periods, plus the Phase IIIb trials VELOCE (NCT02545868), CHORDS (NCT02637856), CASTING (NCT02861014), OBOE (NCT02688985), ENSEMBLE (NCT03085810); LIBERTO (NCT03599245), and CONSONANCE (NCT03523858)
- The number of post-marketing OCR-treated patients is based on the estimated number of vials sold and US claims data
- AEs are classified according to MedDRA and the rates of AEs are expressed per 100 PY

^aUpon completion of the CTP, patients were eligible to receive OCR in OLEs. In ORATORIO, following completion of the DBP, patients could enter the OLE, via an ECP.
AE, adverse event; CTP, controlled-treatment period; DBP, double-blind period; ECP, extended-controlled period; MedDRA, Medical Dictionary for Regulatory Activities;
MS, multiple sclerosis; OCR, ocrelizumab; OLE, open-label extension; PY, patient years.

Overview of Phase II/III/IIIb studies and patient exposure

- As of January 2020, 5,680 patients with MS had received OCR across multiple clinical trials (amounting to 18,218 patient-years of exposure)



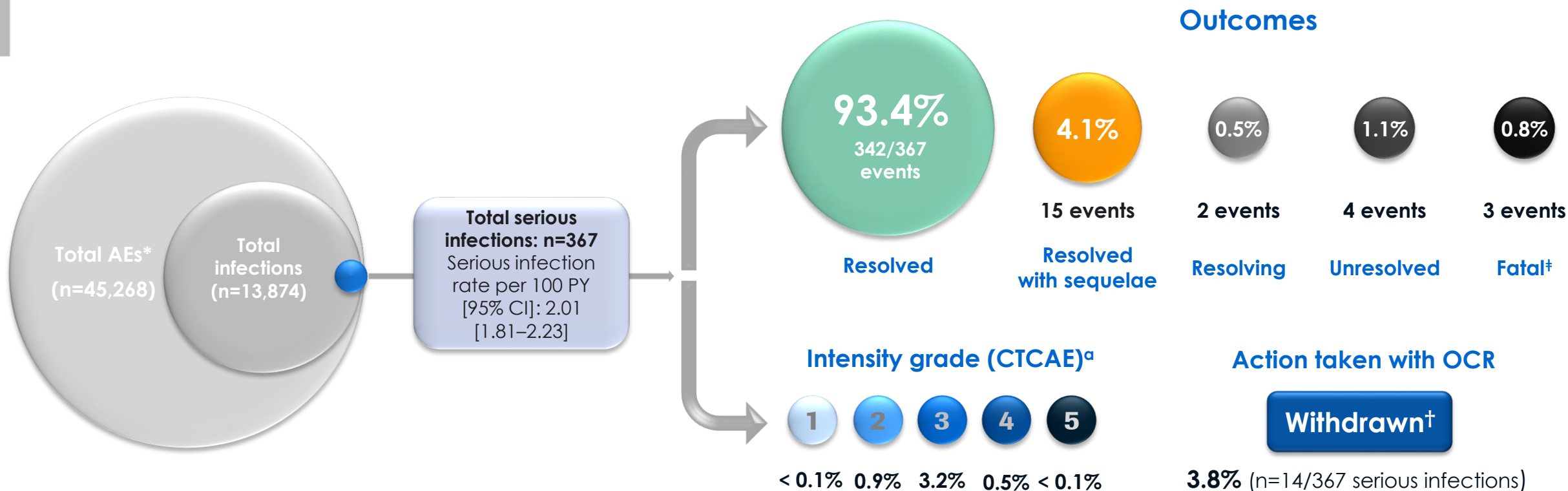
- As of July 2020, over 170,000 patients with MS have started OCR globally in the post-marketing setting

Over 7 years, the safety profile of OCR remained consistent

Adverse event Rate per 100 PY (95% CI)	CTP ^a		CTP/OLE ^b		OCR all-exposure population ^c
	OPERA	ORATORIO	OPERA	ORATORIO	
	IFN β-1α	OCR	Placebo	OCR	
Total no. of patients	826	825	239	486	5,680
Total PY	1,399	1,448	729	1,606	18,218
Any AEs	296 (287–305)	290 (281–299)	259 (247–271)	252 (244–260)	248 (246–251)
AEs leading to discontinuation	3.93 (2.96–5.12)	2.35 (1.63–3.28)	1.10 (0.47–2.16)	1.25 (0.76–1.92)	1.06 (0.92–1.22)
Serious AEs	6.3 (5.1–7.8)	5.4 (4.3–6.7)	12.1 (9.7–14.9)	10.2 (8.7–11.8)	7.3 (7.0–7.7)
Infections and infestations	67.8 (63.5–72.2)	84.5 (79.9–89.4)	72.5 (66.5–79.0)	70.8 (66.8–75.0)	76.2 (74.9–77.4)
Serious infections ^d	1.79 (1.16–2.64)	0.83 (0.43–1.45)	3.02 (1.89–4.57)	2.74 (1.99–3.68)	2.01^e (1.81–2.23)
IRRs	7.9 (6.5–9.5)	34.9 (31.9–38.1)	20.3 (17.2–23.8)	31.0 (28.3–33.9)	25.9 (25.1–26.6)
Malignancies ^{f,g}	0.14 (0.02–0.52)	0.28 (0.08–0.71)	0.27 (0.03–0.99)	0.93 (0.52–1.54)	0.46 (0.37–0.57)
Deaths	0.14 (0.02–0.52)	0.07 (0–0.38)	0.41 (0.08–1.20)	0.25 (0.07–0.64)	0.14 (0.09–0.21)

- The **rate of AEs and SAEs** in **OPERA OLE**, **ORATORIO OLE**, and the **OCR all-exposure population** remained consistent with the rates observed during the CTP of the Phase III trials
 - IRRs, upper respiratory tract infections, and UTIs remained the most common AEs; infections remained the most reported SAE
- The rate of AEs leading to treatment discontinuation remained low over time and with additional exposure, and was not driven by serious infections

Intensity and outcomes of serious infections in the OCR all-exposure population (Total exposure 18,218 PY)

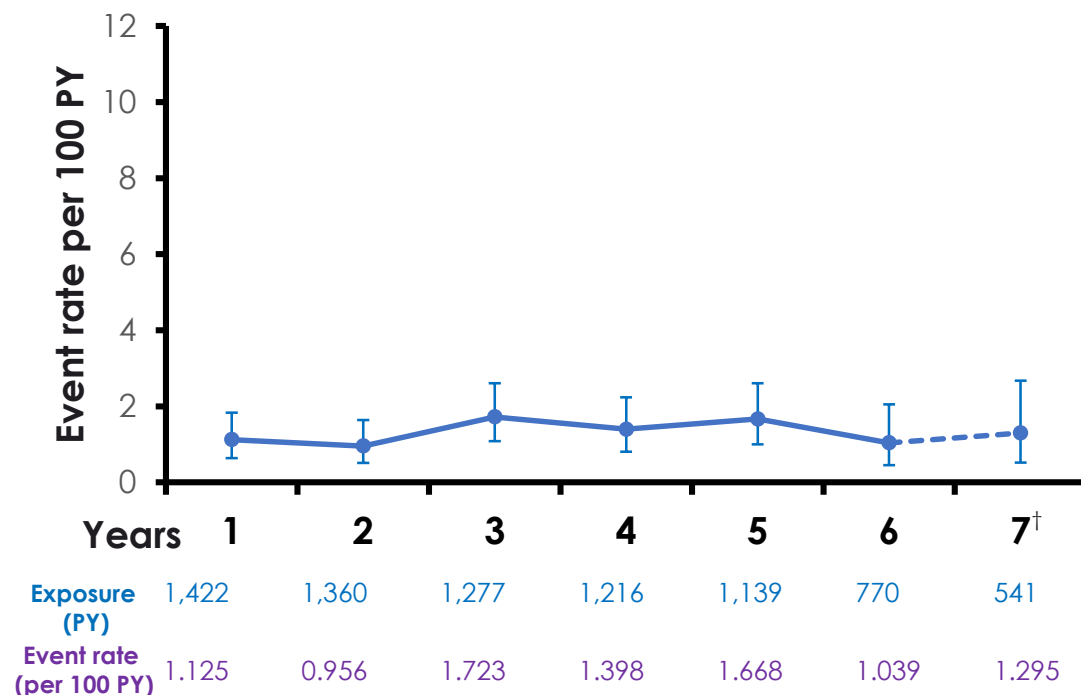


- In the OCR all-exposure population, the **rate of serious infections** remained low^b and was consistent with rates of infection-related hospitalizations reported in a real-world MS cohort^c
- The most common serious infections were **pneumonia**, **UTIs**, and **cellulitis**; the majority of the serious infections **resolved** without discontinuing OCR treatment.
- The majority of **serious infections** were of Grade 3 intensity or below, **resolved without sequelae**, **most (57.7%)** resolved within ≤2 weeks and **were not treatment limiting**

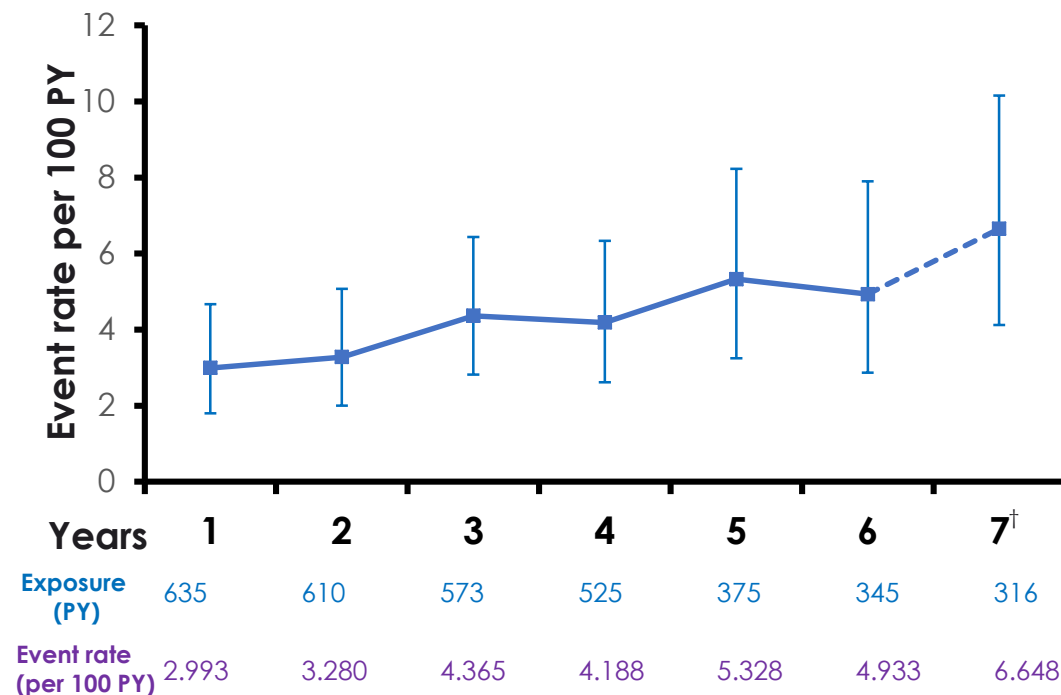
*Figure not drawn to scale. †Serious infections leading to withdrawal included sepsis (n=2), septic shock (n=2), acute hepatitis C (n=1), anal abscess (n=1), bronchitis (n=1), *Clostridium Difficile* colitis (n=1), Herpes Zoster (n=1), infection (n=1), large intestine infection (n=1), mastoiditis (n=1), pneumonia (n=1), viral infection (n=1). ‡Fatal serious infections included urosepsis (n=1) and pneumonia (n=2). AE, adverse event; CTCAE, Common Terminology Criteria for Adverse Events; MS, multiple sclerosis; OCR, ocrelizumab; PY, patient-years; UTI, urinary tract infection

Yearly rate of serious infections over 7 years: RMS and PPMS populations

RMS (OPERA I/II)



PPMS (ORATORIO)

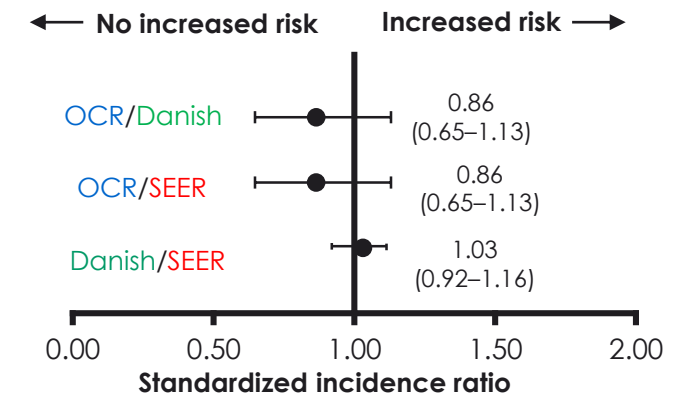
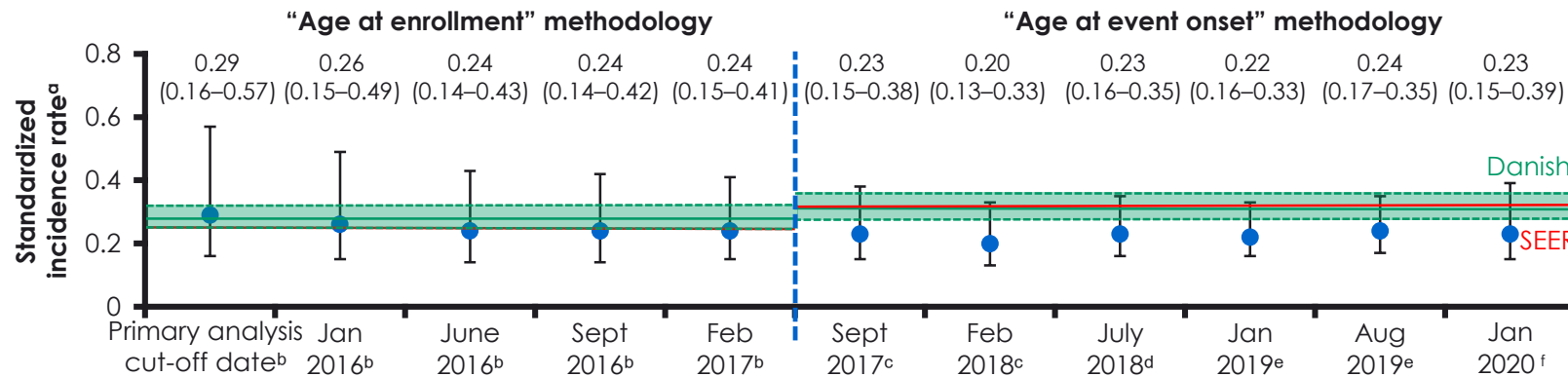


- No new or particular patterns of serious infections were identified by year in either the RMS or PPMS OCR-treated patients
- In the PPMS population, the rate of serious infections remained higher than in the RMS population
- The most frequently reported serious infections overall were consistent with the frequently reported serious infections reported for each year

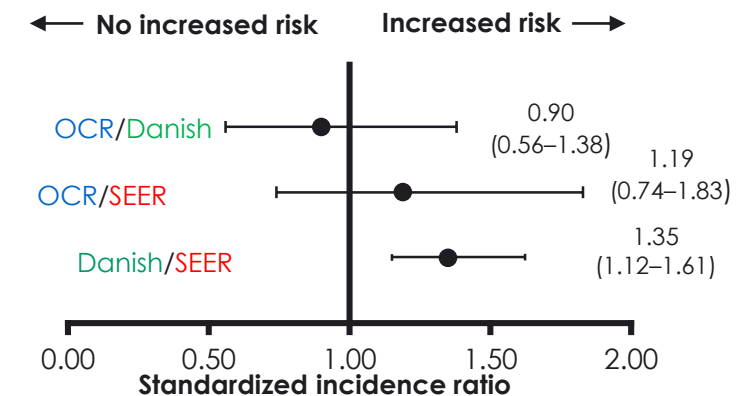
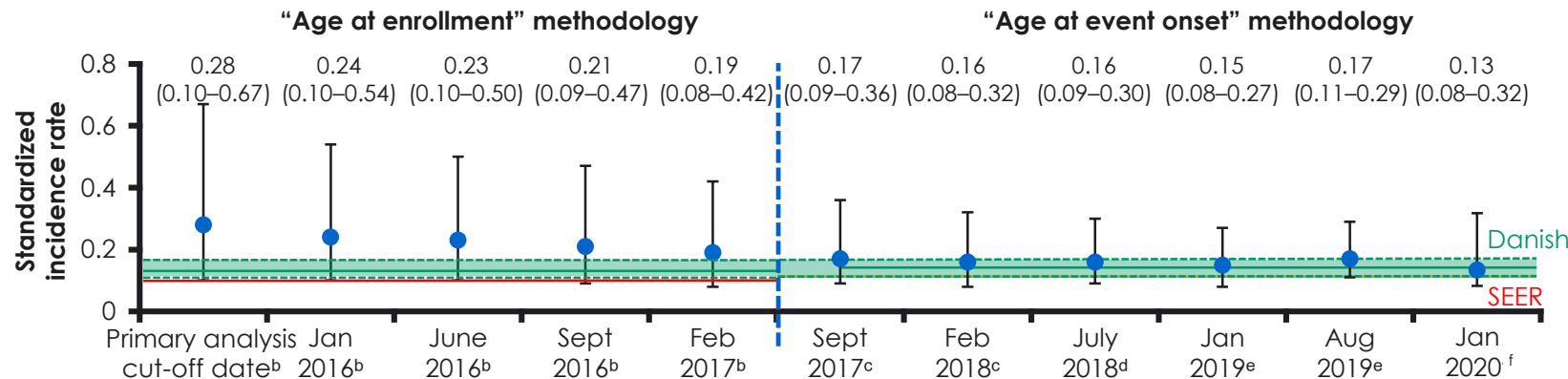
[†]The exposure in PY during Year 7 is limited for meaningful interpretation, so these data are presented in the plots with dotted lines. MS, multiple sclerosis; OCR, ocrelizumab; OLE, open-label extension; PPMS, primary progressive multiple sclerosis; PY, patient years; RMS, relapsing multiple sclerosis. Please scan the QR code to access additional slide footnotes and references.

Cumulative standardised incidence rates of all malignancies and female breast cancer remained stable over time and within the range reported in registries

All malignancies: Age- and sex-standardized



Female breast cancer: Age-standardized



- The **cumulative standardized incidence rates of all malignancies and female breast cancer** in OCR-treated patients remained stable over time, and within the range reported in epidemiologic data^{1,2}
- Yearly crude incidence rates of all malignancies**, including NMSC, and female breast cancer in the OCR-all exposure population remained stable over time (data available in supplemental materials)⁹

Conclusions

- The **reported rates of AEs** in OPERA OLE, ORATORIO OLE and the ocrelizumab all-exposure population and post-marketing settings continue to be generally consistent with those seen during the CTP of the Phase III trials (RMS/PPMS populations)
- In the ocrelizumab all-exposure population, the **rate of serious infections** remained low and generally consistent with the rates of infection-related hospitalisations reported in real-world MS cohorts
- The types of infections were also similar to frequent infections observed in MS patients in general, and the majority of serious infections were of Grade 3 intensity or below, resolved without sequelae, within <2 weeks and were not treatment limiting
- The **rate of malignancies and female breast cancer** in ocrelizumab-treated patients remained within the range reported in epidemiological data
- Based on the limited data available to date (presented earlier this year¹), there is currently no evidence to suggest a more severe course of COVID-19 in ocrelizumab-treated patients with MS (updated data to be presented at this congress during the late-breaking session [Sept. 26 10:45-12:15 EDT. Oral presentation #2110[†]])

Long-term follow-up and **post-marketing requirement studies** will monitor **patient safety over time** in patients with MS receiving ocrelizumab, including identified and potential risks

[†]Session number: SS02.05 . AE, adverse event; COVID-19, coronavirus disease 2019; CTP, controlled-treatment period; MS, multiple sclerosis; OLE, open-label extension; PPMS, primary progressive multiple sclerosis; RMS, relapsing multiple sclerosis. 1. Hughes R, et al. *Mult Scler Relat Disord* 2020. doi: <https://doi.org/10.1016/j.msard.2020.102192>.

Supplemental materials

- Additional methods
- Overview of study designs
- Treatment exposure
- AEs leading to treatment discontinuation
- Potential serious opportunistic infections, including PML
- Standardized incidence rates of all malignancies and female breast cancer
- Yearly crude incidence rates of all malignancies and female breast cancer
- Presentation footnotes

Methods: Malignancies

- Age- and sex-standardized incidence rates of all malignancies (excluding NMSC), and age-standardized incidence rates of female breast cancer were compared with rates from real-world epidemiologic sources, both MS-specific (Danish MS Registry)¹ and for the general population (National Cancer Institute [NCI] SEER database)²
- The standardized incidence ratio, calculated as observed/expected number of events, was determined for all malignancies (excluding NMSC) and female breast cancer, using SEER and Danish MS Registry as reference populations

Overview of study designs

Study name	Population (start date)	Naïve/pre-treated (%) ^a	Design	Treatment	Number of patients	Overall PY (Jan 2020)	Duration of main study	Entering OLE? ^b	Objective of study	Primary endpoint	Current status
Phase II (NCT00676715)	RRMS (2008)	Naïve and pre-treated (41.3%)	Controlled	OCR 2,000 mg every 6M ^{c,d}	55	1,223	96 weeks	Yes	Evaluate the efficacy and safety of two dose regimens of OCR	Total number of Gd-enhancing T1 lesions observed on brain MRI scans at Week 12, 16, 20, and 24	Active, not recruiting
				OCR 600 mg every 6M ^e	55						
				Placebo every 6M ^f	54						
				IFN β-1a 30 µg once weekly ^f	54						
OPERA I (NCT01247324)	RMS (2011)	Naïve and pre-treated (27.4%)	Controlled	OCR 600 mg every 6M ^e	410	4,052	96 weeks	Yes	Evaluate the efficacy and safety of OCR in comparison with IFN β-1a	ARR at Week 96	Active, not recruiting
				IFN β-1a 44 µg three times weekly	411						
OPERA II (NCT01412333)	RMS (2011)	Naïve and pre-treated (25.9%)	Controlled	OCR 600 mg every 6M ^e	417	3,810	96 weeks	Yes	Evaluate the efficacy and safety of OCR in comparison with IFN β-1a	ARR at Week 96	Active, not recruiting
				IFN β-1a 44 µg three times weekly	418						
ORATORIO (NCT01194570)	PPMS (2011)	Naïve and pre-treated (11.6%)	Controlled	OCR 600 mg every 6M ^g	488	3,562	120 weeks	Yes	Evaluate the efficacy and safety of OCR in comparison with placebo	Percentage of patients with CDP sustained for at least 12 weeks	Active, not recruiting
				Placebo every 6M	244						

Overview of study designs (cont.)

Study name	Population (start date)	Naïve/pre-treated (%) ^a	Design	Treatment	Number of patients	Overall PY (Jan 2020)	Duration of main study	Entering OLE? ^b	Objective of study	Primary endpoint	Current status
VELOCE (NCT02545868)	RMS (2015)	Naïve and pre-treated (41.2%)	Controlled	OCR 2,000 mg every 6M ^e	68	346	24 weeks	Yes	Evaluate the immune response to vaccines after administration of OCR	Percentage of patients with a positive response 8-weeks post-tetanus vaccine	Active, not recruiting
				IFN β-1α or no DMT	34						
CHORDS (NCT02637856)	RRMS (2016)	Pre-treated (100%)	Single arm	OCR 600 mg every 6M ^e	611	1,116	96 weeks	No ^h	Evaluate the efficacy and safety of OCR in patients with a suboptimal response to a DMT in the USA/Canada	Percentage of patients free from any protocol-defined event during 96-week period, including occurrence of at least one of the following: a protocol-defined relapse, a T1 Gd-enhancing lesion, a new and/or enlarging T2 lesion, or CDP at 24 weeks	Completed
CASTING (NCT02861014)	RRMS (2016)	Pre-treated (100%)	Single arm	OCR 600 mg every 6M ^e	681	508	96 weeks	Yes	Evaluate the efficacy and safety of OCR in patients with a suboptimal response to a DMT in Europe	Percentage of patients with NEDA (as per protocol-defined events) during 96-week period	Active, not recruiting

6M, 6 months; CDP, confirmed disability progression; DMT, disease-modifying therapy; Gd, gadolinium; IFN, interferon; NEDA, no evidence of disease activity;

OCR, ocrelizumab; OLE, open-label extension; PPMS, primary progressive multiple sclerosis; PY, patient years; RMS, relapsing multiple sclerosis; RRMS, relapsing-remitting multiple sclerosis.

Please scan the QR code to access additional slide footnotes and references.

Overview of study designs (cont.)

Study name	Population (start date)	Naïve/pre-treated (%) ^a	Design	Treatment	Number of patients	Overall PY (Jan 2020)	Duration of main study	Entering OLE? ^b	Objective of study	Primary endpoint	Current status
OBOE (NCT02688985)	RMS (2016)	Naïve and pre-treated (37.5%)	Single arm	OCR 600 mg every 6M ^e	88	290	52 weeks	Yes	Explore the mechanism of action of OCR and B-cell biology	Change in NfL levels in the CSF from baseline to post-treatment with OCR; change in the number of CD19+ B cells and CD3+ T cells in the CSF from baseline to post-treatment with OCR	Active, not recruiting
	PPMS (2016)			OCR 600 mg every 6M ^g	16						
ENSEMBLE (NCT03085810)	Early-stage RRMS (2017)	Naïve	Single arm	OCR 600 mg every 6M ^e	1,233 ⁱ	1,700	192 weeks	Yes	Evaluate the efficacy and safety of OCR	A set of endpoints addressing different facets of MS: clinical assessments conducted every 24 weeks; MRI conducted at Week 8 (re-baselining), 24, 48, 96, 144, and 192	Active, not recruiting
LIBERTO (NCT03599245)	MS (2018)	Naïve and pre-treated (100%)	Single arm	OCR 600 mg every 6M ^e	1,500 ⁱ	1,155	144 weeks	Yes	Long-term extension study to CASTING and ENSEMBLE to evaluate the efficacy and safety of OCR	Effectiveness of OCR in patients with MS assessed by progression of disease up to 2 years	Active, not recruiting

Overview of study designs (cont.)

Study name	Population (start date)	Naïve/pre-treated (%) ^a	Design	Treatment	Number of patients	Overall PY (Jan 2020)	Duration of main study	Entering OLE? ^b	Objective of study	Primary endpoint	Current status
CONSONANCE (NCT03523858)	PMS (2018)	Pre-treated	Single arm	OCR 600 mg every 6M ⁱ	633	457	192 weeks	Yes	Open-label study to evaluate effectiveness and safety of OCR in patients with PMS	Percentage of patients with NEP and NEPAD from baseline to Week 96, Week 96 to Week 192, and baseline to Week 192	Active, not recruiting

^{6M}, 6 months; NEP, no evidence of progression; NEPAD, no evidence of progression and no active disease; OCR, ocrelizumab; OLE, open-label extension; PMS, progressive multiple sclerosis; PPMS, primary progressive multiple sclerosis; PY, patient years. Please scan the QR code to access additional slide footnotes and references.

Treatment exposure

- In the OCR all-exposure population, over 50% of patients (3,232) received ≥ 5 doses

	Phase III CTP + OLE (N=2,092) ^a		OCR all-exposure (N=5,680) ^b
	OPERA CTP/OLE (N=1,448) ^a	ORATORIO CTP/OLE (N=644) ^a	
Age at start of treatment, median (range), years	38.0 (18–58)	47.0 (20–59)	38.0 (18–66)
Total patient years	7,862	3,562	18,218
Number of doses, n (%)^c	Number of patients	Number of patients	Number of patients
≥1	1,448 (100)	644 (100)	5,680 (100)
≥2	1,382 (95.4)	621 (96.4)	5,480 (96.5)
≥3	1,346 (93.0)	608 (94.4)	4,695 (82.7)
≥4	1,314 (90.7)	590 (91.6)	4,131 (72.7)
≥5	1,263 (87.2)	570 (88.5)	3,232 (56.9)
≥6	1,232 (85.1)	550 (85.4)	2,496 (43.9)
≥7	1,200 (82.9)	531 (82.5)	2,209 (38.9)
≥8	1,181 (81.6)	510 (79.2)	1,877 (33.0)
≥9	1,159 (80.0)	477 (74.1)	1,761 (31.0)
≥10	1,135 (78.4)	362 (56.2)	1,593 (28.0)
Number of doses, mean (SD)	11.9 (4.7)	11.8 (5.3)	7.2 (5.2)
Number of doses, median	13.0	12.0	5.0
Total cumulative dose, mg			
Mean (SD)	7,144 (2,823)	5,662 (2,621)	4,150 (2,952)
Median	7,800	6,600	3,000
Range	9–10,800	19–9,600	9–14,600

IRRs, neoplasms, and infections were the most common AEs leading to discontinuation in the OCR all-exposure population^a

MedDRA SOC MedDRA preferred term	Number of patients	MedDRA SOC MedDRA preferred term	Number of patients
Overall total number of patients	181	Injury, poisoning, and procedural complications	35
Neoplasms benign, malignant, and unspecified (including cysts and polyps)	40	Infusion-related reaction	33
Invasive ductal breast carcinoma	8	Lumbar vertebral fracture	1
Breast cancer	5	Subdural hematoma	1
Malignant melanoma	4	Infections and infestations	27
Papillary thyroid cancer	2	Urinary tract infection	4
Prostate cancer	2	Herpes zoster	2
Adenocarcinoma of colon	1	Infection ^b	2
Anaplastic large-cell lymphoma	1	Sepsis	2
Basal cell carcinoma	1	Septic shock	1
Carcinoma in situ of penis	1	Acute hepatitis C	1
Cervix carcinoma stage II	1	Anal abscess	1
Chondrosarcoma	1	Bacterial vaginosis	1
Endometrial adenocarcinoma	1	Bronchitis	1
Endometrial cancer	1	Cellulitis	1
Hypergammaglobulinemia benign monoclonal	1	<i>Clostridium difficile</i> colitis	1
Invasive breast carcinoma	1	Coccidioidomycosis	1
Lobular breast carcinoma in situ	1	Large intestine infection	1
Lung neoplasm malignant	1	Latent tuberculosis	1
Malignant fibrous histiocyoma	1	Mastoiditis	1
Metastatic malignant melanoma	1	Esophageal candidiasis	1
Nodular melanoma	1	Periodontitis	1
Pancreatic carcinoma metastatic	1	Pneumonia	1
Renal cell carcinoma	1	Pulmonary tuberculoma	1
Squamous cell carcinoma	1	Viral infection	1
Transitional cell carcinoma	1		

IRRs, neoplasms, and infections were the most common AEs leading to discontinuation in the OCR all-exposure population^a (cont.)

MedDRA SOC MedDRA preferred term	Number of patients	MedDRA SOC MedDRA preferred term	Number of patients
Psychiatric disorders	13	General disorders and administration site conditions	7
Depression	3	Fatigue	2
Anxiety	2	Asthenia	1
Completed suicide	2	Chest pain	1
Delusion	1	Chills	1
Depressive symptom	1	Influenza-like illness	1
Hallucination	1	Pyrexia	1
Mental disorder	1	Nervous system disorders	6
Suicidal ideation	1	Multiple sclerosis relapse	2
Suicide attempt	1	Headache	1
		Optic neuritis	1
		Secondary progressive multiple sclerosis	1
		Speech disorder	1
Skin and subcutaneous tissue disorders	12	Investigations	9
Rash	2	Neutrophil count decreased	2
Alopecia	1	B lymphocyte count decreased	1
Asteatosis	1	Blood creatine increased	1
Decubitus ulcer	1	Blood immunoglobulin G decreased	1
Dermatitis allergic	1	CD4 lymphocytes decreased	1
Dermatitis bullous	1	Electrocardiogram QT prolonged	1
Erythema nodosum	1	Gamma-glutamyltransferase increased	1
Guttate psoriasis	1	Transaminases increased	1
Interstitial granulomatous dermatitis	1	Musculoskeletal and connective tissue disorders	5
Pruritis allergic	1	Arthralgia	1
Skin lesion	1	Osteonecrosis	1
Gastrointestinal disorders	8	Pain in extremity	1
Crohn's disease	4	Psoriatic arthropathy	1
Colitis	1	Seronegative arthritis	1
Colitis ulcerative	1		
Diarrhea	1		
Enterocolitis	1		

IRRs, neoplasms, and infections were the most common AEs leading to discontinuation in the OCR all-exposure population^a (cont.)

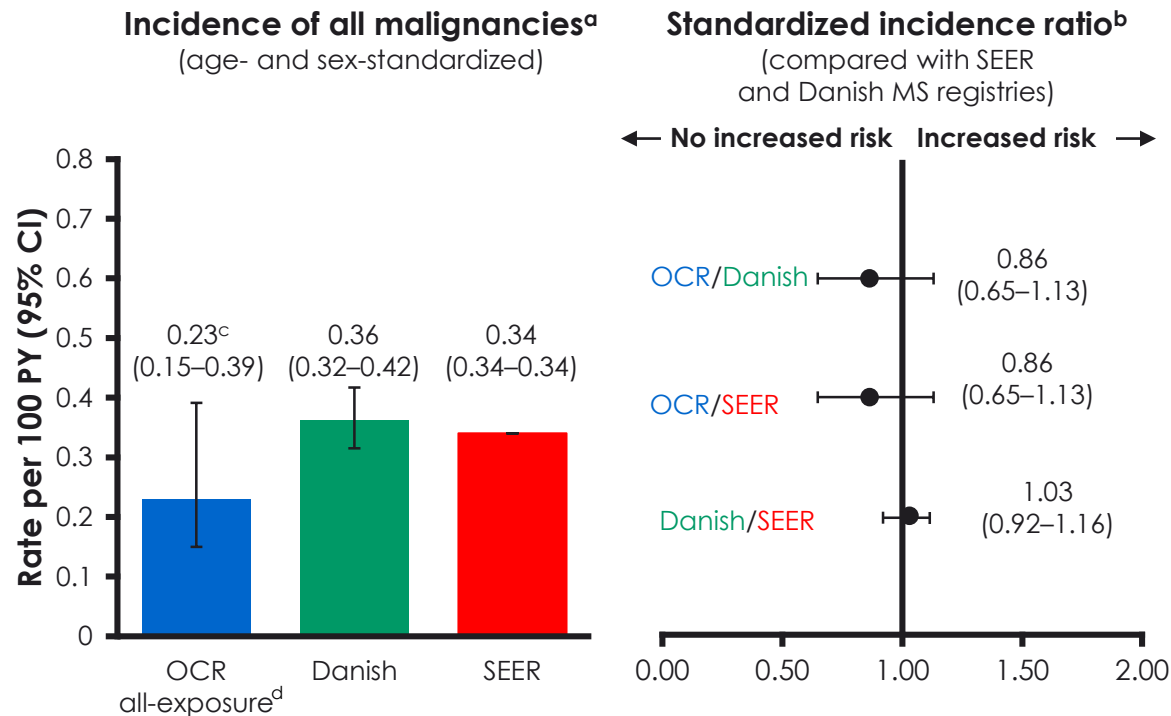
MedDRA SOC MedDRA preferred term	Number of patients	MedDRA SOC MedDRA preferred term	Number of patients
Hepatobiliary disorders	3	Immune system disorders	3
Hepatitis	1	Hemophagocytic lymphohistiocytosis	1
Hepatitis fulminant	1	Hypersensitivity	1
Portal vein thrombosis	1	Hypogammaglobulinemia	1
Metabolism and nutrition disorders	3	Reproductive system and breast disorders	2
Diabetes mellitus inadequate control	1	Cervical dysplasia	1
Hypoproteinemia	1	Metrorrhagia	1
Lactic acidosis	1	Respiratory, thoracic, and mediastinal disorders	2
Blood and lymphatic system disorders	3	Dysphonia	1
Lymphocytosis	1	Sinus congestion	1
Lymphopenia	1	Eye disorders	1
Neutropenia	1	Vitreous floaters	1
Cardiac disorders	2		
Aortic valve incompetence	1		
Congestive cardiomyopathy	1		

Potential serious opportunistic infections

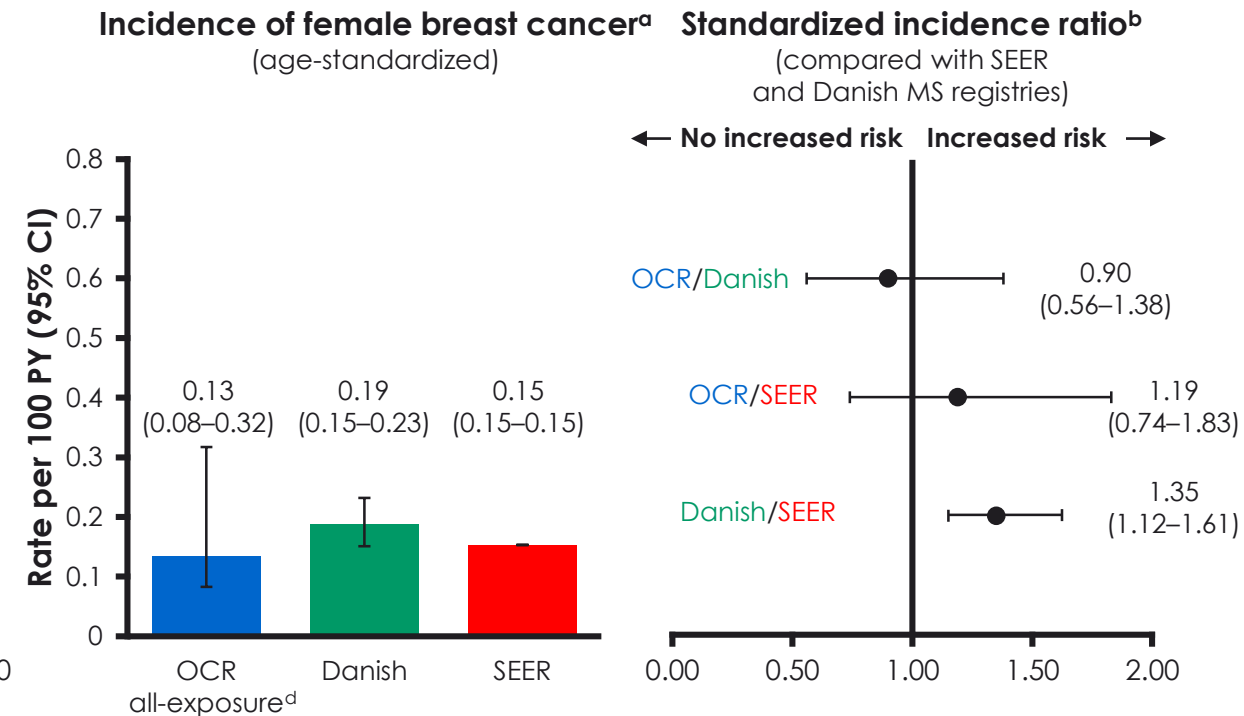
- As of January 2020, the incidence of potential SOIs in the OCR all-exposure population was low, at a rate of 0.08 per 100 PY (95% CI 0.04–0.13)
 - The rates remained stable year-on-year compared with the rates observed during the CTP (0.09 per 100 PY; 95% CI 0.02–0.23)
 - Herpes was the unique cluster of potentially SOIs in the OCR all-exposure population, but the rates were very low (0.03 per 100 PY; 95% CI 0.01–0.07)
 - No cases of hepatitis B virus infection reactivation, fever of unknown origin, cryptococcosis, aspergillosis, listeriosis, toxoplasmosis, or cytomegalovirus infection were reported in the OCR all-exposure population
- As of March 31, 2020, no cases of PML were reported in the context of clinical trials
 - Nine confirmed cases of PML were reported outside the clinical trial setting
 - Eight cases reported by the treating physicians as “carry-over” cases from previous treatment with either natalizumab (n=7) or fingolimod (n=1)
 - One case where the patient was treated with OCR for 2 years, without history of a previous DMT, but with contributing risk factors for PML, notably old age (78 years) and Grade 1 lymphopenia
 - A fatal outcome was reported in two cases

Over 7 years, the standardized incidence rates of all malignancies and female breast cancer remained within the range reported in registries

Incidence of all malignancies Age- and sex-standardized

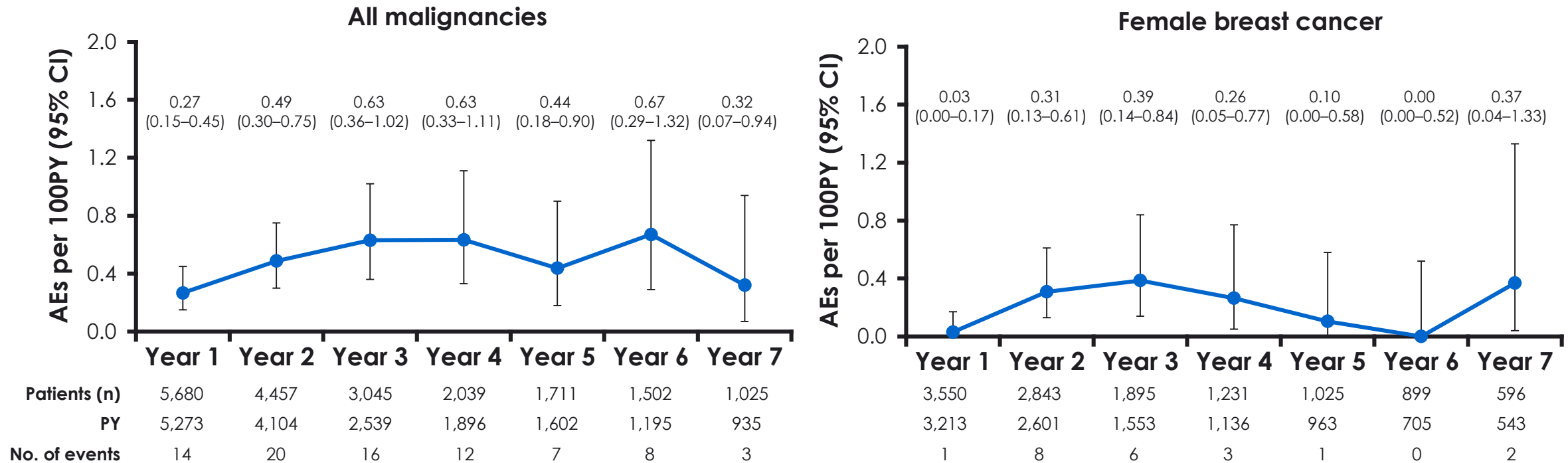


Incidence of female breast cancer Age-standardized



- The **rate of all malignancies and female breast cancer** in OCR-treated patients remained stable compared with the rates observed in the CTP of the Phase III trials, and within the range reported in epidemiologic data^{1,2}

Over 7 years, no significant year-on-year variation was observed in the incidence rates of all malignancies and female breast cancer



- Yearly crude incidence rates of all malignancies, including NMSC, and female breast cancer in the OCR all-exposure population remained stable over time^a
 - There were three events of all malignancies at Year 7 (n=1,025; 935 PY) and two at Year 8 (n=646; 391 PY)
 - There were two events of female breast cancer at Year 7 (n=596; 543 PY)

Supplemental materials: Presentation footnotes

Slide 3

AE, adverse event; IFN, interferon; IRR, infusion-related reaction; MS, multiple sclerosis; OCR, ocrelizumab; OLE, open-label extension; PPMS, primary progressive multiple sclerosis; RMS, relapsing multiple sclerosis; UTI, urinary tract infection.

1. Kappos L, *et al. Lancet* 2011;378:1779–1787. 2. Hauser SL, *et al. N Engl J Med* 2017;376:221–234. 3. Montalban X, *et al. N Engl J Med* 2017;376:209–220. 4. Genentech. Ocrevus (Ocrelizumab) [Full Prescribing Information] [online]. Available at: https://www.gene.com/download/pdf/ocrevus_prescribing.pdf. Accessed 18 August 2020. 5. European Medicines Agency. Ocrevus [Summary of Product Characteristics] [online]. Available at: https://www.ema.europa.eu/en/documents/product-information/ocrevus-epar-product-information_en.pdf. Accessed 18 August 2020.

Slide 5

^aLIBERTO is the long-term extension study to CASTING and ENSEMBLE.

CTP, controlled-treatment period; MS, multiple sclerosis; OCR, ocrelizumab; OLE, open-label extension; PMS, progressive multiple sclerosis; PPMS, primary progressive multiple sclerosis; PY, patient years; RMS, relapsing multiple sclerosis; RRMS, relapsing-remitting multiple sclerosis. 1. Kappos L, *et al. Lancet* 2011;378:1779–1787; 2. Hauser SL, *et al N Engl J Med* 2017;376:221–234; 3. Montalban X, *et al. N Engl J Med* 2017;376:209–220.

Slide 6

AEs were classified according to MedDRA versions 18.0, 18.1, and 22.1. Multiple occurrences of the same AE in one patient are counted multiple times, except for malignancies.

^aData as of April–July 2015; ^bIncludes patients who received any dose of OCR during the CTP and associated OLE periods of the Phase III studies, including patients originally randomized to comparator (IFN β-1a or placebo) who switched to open-label OCR treatment (data as of January 2020); ^cIncludes patients who received any dose of OCR during the CTP and associated OLE periods of the Phase II and Phase III studies plus VELOCE, CHORDS, CASTING, OBOE, ENSEMBLE, LIBERTO and CONSONANCE, including patients originally randomized to comparator (IFN β-1a or placebo) who switched to open-label OCR treatment (data as of January 2020); ^dSerious infections are defined using AEs falling into the MedDRA SOC Infections and infestations, and using 'Is the event non-serious or serious?' from the AE case report form; ^eRates of infection-related hospitalizations (1.88 per 100 PY; 95% CI 1.77–2.01) in the British Columbia registry¹ are shown for epidemiological reference. ^fMalignancies are identified using AEs falling into the standard MedDRA query 'Malignant tumors (narrow)'; ^gFor malignancies, incidence rates are reported and exposure in PY was calculated from first treatment to onset of first malignancy. AE, adverse event; CTP, controlled-treatment period; IFN, interferon; IRR, infusion-related reaction; MedDRA, Medical Dictionary for Regulatory Activities; OCR, ocrelizumab; OLE, open-label extension; PY, patient years; SAE, serious adverse event; SOC, system organ class; UTI, urinary tract infection.

1. Wijnands JMA, *et al. J Neurol Neurosurg Psychiatry* 2018;89:1050–1056.

Supplemental materials: Presentation footnotes

Slide 7

Data cut-off: January 2020.

Yearly rates of serious infections in the OCR all-exposure population, which includes patients who received any dose of OCR during the CTP and associated OLE periods of the Phase II and Phase III studies, plus VELOCE, CHORDS, CASTING, OBOE, ENSEMBLE, LIBERTO, and CONSONANCE (N=5,680; PY=18,218).

^aGrade 1 (mild): asymptomatic or mild symptoms/clinical or diagnostic observation only/ intervention not indicated; Grade 2 (moderate): minimal, local or non-invasive intervention indicated/ limiting age appropriate instrumental ADL^d; Grade 3 (severe): severe or medically insignificant but not immediately life-threatening/ hospitalization or prolongation of hospitalization indicated/ disabling/ limiting self-care ADL^e; Grade 4 (life-threatening): life-threatening consequences/ urgent intervention required; Grade 5 (death): death related to AE (not applicable for all AEs); ^b2.01 (1.81–2.23) per 100 PY; 95% CI 2.30–4.75; ^cRates of infection-related hospitalizations (1.88 per 100 PY; 95% CI 1.77–2.01) in the British Columbia registry¹ are shown for epidemiological reference; ^dInstrumental ADL referring to preparing meals, shopping for groceries or clothes, using the telephone, managing money, etc.; ^eself-care ADL refer to bathing, dressing and undressing, feeding self, using the toilet, taking medications, and not bedridden.

ADL, activities of daily living; AE, adverse event; CTCAE, Common Terminology Criteria for Adverse Events; CTP, controlled treatment period; MS, multiple sclerosis;

OCR, ocrelizumab; OLE, open-label extension; PY, patient-years; UTI, urinary tract infection.

1. Wijnands JMA, *et al.* *J Neurol Neurosurg Psychiatry* 2018;89:1050–1056.

Slide 8

Data cut-off: January 2020.

RMS and PPMS populations: Yearly rates of serious infections in patients with RMS or PPMS treated with OCR during the CTP and associated OLE periods of the Phase III trials (OPERA 1, OPERA 2, and ORATORIO) for a period of up to 7 years. It includes patients randomized to OCR and patients who received PBO or IFN β -1a during the CTPs, but subsequently switched to OCR at the beginning of the OLE periods (N=2,092; 10,924 PY).

CTP, controlled-treatment period; IFN, interferon; MS, multiple sclerosis; OCR, ocrelizumab; OLE, open-label extension; PBO, placebo; PPMS, primary progressive multiple sclerosis;

PY, patient years; RMS, relapsing multiple sclerosis.

Supplemental materials: Presentation footnotes

Slide 9

Data cut-off: January 2020. The incidence rate of first malignancy (number of first malignancy events per 100 PY) was calculated. Standardized incidence rates of malignancies were calculated using the age of the patient at study baseline ("age at enrollment"; age range: 15–59 years) up to February 2017; from September 2017 onwards, rates were calculated based on the age of the patient at the onset of malignancy ("age at event onset"; age range: 15–64 years). The "age at event onset" is a more accurate method which reflects the fact that patients become older throughout the course of the studies. Data from different methodologies separated by a dashed line.

^aNMSC is not reported in SEER; ^bIncludes patients who received any dose of OCR during the controlled treatment, ECP, and associated OLE periods of the Phase II and Phase III studies, including patients originally randomized to comparator (IFN β -1a or placebo) who switched to open-label OCR treatment; ^cIncludes patients described in ^b plus VELOCE, CHORDS, CASTING and OBOE; ^dIncludes patients described in ^c plus ENSEMBLE; ^eIncludes patients described in ^d plus LIBERTO. ^fIncludes patients described in ^d plus CONSONANCE; ^gIncludes patients who received any dose of OCR during the CTP and associated OLE periods of the Phase II and Phase III studies, plus VELOCE, CHORDS, CASTING, OBOE, ENSEMBLE, LIBERTO, and CONSONANCE, including patients originally randomized to comparator (IFN β -1a or placebo) who switched to open-label OCR treatment.

NMSC, nonmelanoma skin cancer; OCR, ocrelizumab; SEER, Surveillance, Epidemiology, and End Results; SIR, standardized incidence ratio.

Slide 10

[†]Session number: SS02.05 . AE, adverse event; COVID-19, coronavirus disease 2019; CTP, controlled-treatment period; MS, multiple sclerosis; OLE, open-label extension; PPMS, primary progressive multiple sclerosis; RMS, relapsing multiple sclerosis. 1. Hughes R, et al. *Mult Scler Relat Disord* 2020. doi: <https://doi.org/10.1016/j.msard.2020.102192>.

Supplemental slides 3–6 (overview of study designs)

^aPercentage refers to the number of pre-treated patients; ^bPatients in the OLE received 600 mg OCR every 6M; ^cThe first OCR dose was given as 2 x 1,000-mg infusions with 14-day interval; ^dAt Week 24, OCR 2,000 mg was reduced to 1,000 mg; ^eThe first OCR dose was given as 2 x 300-mg infusions with 14-day interval; ^fAt Week 24, patients in the placebo and IFN β -1a groups received OCR 600 mg; ^gAll doses of OCR were given as 2 x 300-mg infusions; ^hOptional infusion at Week 96 (dose 5; not included in safety analysis); eligible patients could participate in the CHORDS extension substudy, when they received a 600-mg infusion of OCR over a reduced infusion time of approximately 2 hours; ⁱEstimated enrollment; ^jAn initial dose of 2 x 300-mg infusions separated by 14 days (on Days 1 and 15), and then 600 mg at every subsequent dose every 24 weeks for the remainder of the study treatment period (approximately 192 weeks).

6M, 6 months; ARR, annualized relapse rate; CDP, confirmed disability progression; Gd, gadolinium; IFN, interferon; NEP, no evidence of progression; NEPAD, no evidence of progression and no active disease; OCR, ocrelizumab; OLE, open-label extension; PMS, progressive multiple sclerosis; PPMS, primary progressive multiple sclerosis; PY, patient years; RMS, relapsing multiple sclerosis; RRMS, relapsing-remitting multiple sclerosis.

Supplemental slide 7 (treatment exposure)

Data cut-off: January 2020. Doses were administered every 6 months.

^aIncludes patients who received any dose of OCR during the CTP-associated OLE periods of the Phase III studies, including patients originally randomized to comparator (IFN β -1a or PBO) who switched to open-label OCR treatment; ^bIncludes patients who received any dose of OCR during the CTP and associated OLE periods of the Phase II and Phase III studies plus VELOCE, CHORDS, CASTING, OBOE, ENSEMBLE, LIBERTO, and CONSONANCE, including patients originally randomized to comparator (IFN β -1a or PBO) who switched to open-label OCR treatment; ^cIf a patient received any infusion in one dose, it was counted as one dose.

CTP, controlled-treatment period; IFN, interferon; OCR, ocrelizumab; OLE, open-label extension; PBO, placebo.

Supplemental materials: Presentation footnotes

Supplemental slide 8 (AEs leading to discontinuation)

Investigator text for AEs was encoded using MedDRA version 22.1. Multiple occurrences of the same AE in one patient will be counted multiple times.

^aIncludes patients who received any dose of OCR during the CTP and associated OLE periods of the Phase II and Phase III studies, including patients originally randomized to comparator (IFN β -1a or PBO) who switched to open-label OCR treatment, plus VELOCE, CHORDS, CASTING, OBOE, ENSEMBLE, CONSONANCE, and LIBERTO. ^bReported terms: Infection-suspicion, WBC count $18.4 \times 10^9/L$, and Infectious syndrome of unknown cause.

AE, adverse event; CTP, controlled-treatment period; IFN, interferon; IRR, infusion-related reaction; MedDRA, Medical Dictionary for Regulatory Activities; OCR, ocrelizumab; OLE, open-label extension; PBO, placebo; SOC, system organ class; WBC, white blood cell.

Supplemental slide 9 (AEs leading to discontinuation)

Investigator text for AEs was encoded using MedDRA version 22.1. Multiple occurrences of the same AE in one patient will be counted multiple times.

^aIncludes patients who received any dose of OCR during the CTP and associated OLE periods of the Phase II and Phase III studies, including patients originally randomized to comparator (IFN β -1a or PBO) who switched to open-label OCR treatment, plus VELOCE, CHORDS, CASTING, OBOE, ENSEMBLE, CONSONANCE, and LIBERTO.

AE, adverse event; CTP, controlled-treatment period; IFN, interferon; IRR, infusion-related reaction; MedDRA, Medical Dictionary for Regulatory Activities; OCR, ocrelizumab; OLE, open-label extension; PBO, placebo; SOC, system organ class.

Supplemental slide 10 (AEs leading to discontinuation)

Investigator text for AEs was encoded using MedDRA version 22.1. Multiple occurrences of the same AE in one patient will be counted multiple times.

^aIncludes patients who received any dose of OCR during the CTP and associated OLE periods of the Phase II and Phase III studies, including patients originally randomized to comparator (IFN β -1a or PBO) who switched to open-label OCR treatment, plus VELOCE, CHORDS, CASTING, OBOE, ENSEMBLE, CONSONANCE, and LIBERTO.

AE, adverse event; CTP, controlled-treatment period; IFN, interferon; IRR, infusion-related reaction; MedDRA, Medical Dictionary for Regulatory Activities; OCR, ocrelizumab; OLE, open-label extension; PBO, placebo; SOC, system organ class.